

**Filing Name: Excess Management Liability**  
**Company: Ascot Insurance Company**  
**Filing Number: EML-20-001**

Total Number Approved (Total able to be used): 34 (38 available)

State	Date Filed	Effective Date	Date of Last Action	Current Status
Alabama	4/21/20 – R/F	4/21/20 – R/F		Considered properly FILED.
Alaska				Waiting for rate support.
Arizona		EXEMPT		Filing EXEMPT.
Arkansas	4/13/20 – F	EXEMPT		Forms and Rates EXEMPT
California	5/4/20	7/8/20		Approved; use CA rating plan.
Colorado	4/21/20 – R/F	6/1/20 – F		Forms considered properly FILED; rates pending.
Connecticut	R/F			Working on transmittals; awaiting claims made form.
Delaware				
District of Columbia	4/13/20 – R/F	5/26/20 – R; 6/24/20 – F		Approved as revised. Forms: use updated DC amendatory; DC versions of forms E004 and E081.
Florida	9/11/20 – R; 9/15/20 – F	10/15/20 – R/F		Approved.
Georgia	4/13/20 – R/F	6/1/20 – R/F		Approved; use GA rating plan.  The following forms were withdrawn: <ul style="list-style-type: none"> <li>- Redlining Exclusion</li> <li>- Redlining Exclusion - Banking</li> <li>- Opioid Exclusion</li> <li>- Opioid Exclusion – Public</li> <li>- Membership Discrimination</li> </ul>
Hawaii				
Idaho	4/21/20	4/21/20		Considered properly FILED.

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Illinois	4/13/20 – F	Rates – NO FILE; 6/5/20 – F		Rates EXEMPT. Forms considered properly FILED as revised; use IL Opioid exclusion, Bankruptcy exclusions, Personal Injury exclusion.
Indiana	4/13/20	4/17/20		Considered properly FILED.
Iowa	4/13/20	5/11/20		Approved.
Kansas	4/21/20	5/15/20		Approved; use KS Reliance Endorsement, use corrected Privacy/Unauthorized Collection Exclusion, use KS amendatory, use binder, use KS Tie In Limits form.
Kentucky	4/20/20 – R/F	4/23/20 – R/F		Approved.
Louisiana	4/21/20 – R/F	5/22/20 – F; 5/27/20 – R		Approved use updated LA rates. Privacy Unauthorized Collection Exclusion form and the Louisiana version of the Run-Off Endorsement.
Maine	4/20/20	5/19/2020	5/19/2020	Approved. (5/14/20) Reply to DOI. (WP)
Maryland	4/20/20 – R/F	6/1/20 -R/F		Approved. Use updated MD rates.
Massachusetts				Transmittals to be drafted. (WF)
Michigan	4/13/20	EXEMPT		Filing EXEMPT with disclaimer
Minnesota	4/13/20	5/18/20		Accepted as EXEMPT.
Missouri	4/20/20	8/5/20		Considered properly FILED as revised; use updated MO amendatory; use MO rating plan, Reliance Endorsement is WITHDRAWN
Mississippi	4/29/20	5/13/20		Approved.
Montana	4/21/20 – R/F	4/29/20 – R; 5/6/20 – F		Approved as revised. Forms – use MT Run-Off Endorsement, Law Firm Approval Endorsement is WITHDRAWN; Reliance Endorsement is WITHDRAWN.
Nebraska	4/20/20	5/13/20		Considered properly FILED: use NE rating plan.
Nevada	4/13/20 – F	4/15/20 – Forms; Rates EXEMPT		Forms approved; rates pending.
New Hampshire	4/20/20 – R/F	6/1/20 – F/R		Approved as revised. Use updated dec page, State Amendatory Inconsistency and Reliance form WITHDRAWN,
New Jersey		EXEMPT		Filing EXEMPT

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New Mexico	4/13/20 – F	Rates Exempt; 4/20/20 – F		Considered properly FILED.
New York				
North Carolina	4/21/20 – F, 4/29/20 – R	4/27/20 – F; 8/1/120 – R		Rates approved, use updated NC rating plan, use updated NC Dec.
North Dakota				
Ohio	4/20/20	8/6/20		Considered properly FILED. Use revised OH amendatory, rating plan.
Oklahoma	4/21/20 – R/F	6/1/20 – R/F		Approved; use OK Fraud Statement, use OK Dec.
Oregon	4/21/20	5/14/20		Approved; Opioid exclusions withdrawn.  Use updated dec page, use updated consumer fraud exclusion.
Pennsylvania			5/11/20	(5/11/20) Correspondence to client regarding exemption. (WP)
Rhode Island		EXEMPT		Filing EXEMPT
South Carolina	4/13/20 –F	Rates – Exempt; 4/20/20 – F		Considered properly FILED; use updated SC amendatory.
South Dakota				
Tennessee	4/21/20	4/22/20		Considered properly FILED.
Texas	4/29/20 – R; 5/6/20 – F	6/26/20 – R 8/17/20 – F	8/17/20	(5/13/20) Reply to DOI. (WP) (5/23/20) DOI questions received; correspondence to client. (WP) (6/3/20) Correspondence to client regarding questions on rates. (WP) (6/9/20) Replies to DOI. (WP) (6/26/20) Rate/Rule approved, forms pending (EB) (7/8/20) DOI questions received; correspondence to client. (WP) (7/9/20) Reply to DOI. (WP) (7/20/20) DOI questions received; correspondence to client; correspondence to DOI regarding clarification. (SP)
Utah	4/21/20	4/21/20		Considered properly FILED.
Vermont	4/21/20	W/D		Withdrawn per client's request.
Virginia		EXEMPT		Filing EXEMPT
Washington				
West Virginia				

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<b>Wisconsin</b>	<b>4/21/20 – R/F</b>	<b>5/20/20 – F; 4/21/20 – Rates</b>		<b>Approved.</b>
<b>Wyoming</b>	<b>4/13/20 – F</b>	<b>Rates – Exempt; 4/29/20 – F</b>		<b>Approved; rates exempt.</b>