

Filing Name: Cyber CYNERGY Pro Product
Company: Ascot Insurance Company
Filing Number: CCP-20-001

Total Number Approved (Total able to be used): 33 (36 available)

| State | Date Filed | Effective Date | Date of Last Action | Current Status | Revised Rating Plan | Additional Form (ASC-E106) |
|------------|---------------------------|------------------------------|---------------------|---|---|---|
| Alabama | 10/6/20 – F/R | 10/12/20 – R/F | | Considered properly FILED. Forms reapproved. Rates Reapproved. | (10/21/20) Filing reopened and revised rating plan submitted (SP) (11/9/20) Filing reapproved (SP) | (11/2/20) Filing reopened and form submitted (SP) (11/3/20) Filing reapproved (SP) |
| Alaska | 10/15/20 – F/R | | 12/29/20 | Pending (10/26/20) DOI extended review of filing by 15 days (SP) (11/4/20) DOI questions received on rates, correspondence to client. (WP) (11/11/20) DOI extended review of forms filing by additional 30 days (SP) (12/8/20) Rec'd correspondence from DOI re forms and forwarded to company for review (SP) (12/18/20) Reply to DOI on rates. (WP) (12/29/20) DOI questions received; correspondence to client. (WP) | (10/20/20) Submitted (SP) | (10/26/20) Submitted (SP) |
| Arizona | | EXEMPT | | Filing EXEMPT | N/A | N/A |
| Arkansas | 10/6/20 – F; Rates EXEMPT | 10/28/20 – F; Rates – EXEMPT | | Forms approved, rates EXEMPT Forms reapproved with additional form | N/A | (10/28/20) Filing reopened and form submitted (SP) (10/28/20) Filing reapproved (SP) |
| California | 11/30/20 | | 12/23/20 | Pending Deemer date 2/9/21 | Included | Included |

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| | | | | (12/22/20) Rec'd correspondence from DOI and forwarded to company for review (SP) (12/23/20) Rec'd response from company and replied to DOI (SP) (12/30/20) DOI Questions rec'd; sent to client (EB) | | |
| Colorado | 11/20/20 – F/R | 12/9/20 – F | | Forms approved; rates pending | Included | Included |
| Connecticut | | | | Filings drafted. Need signed cert. (10/8/20) Requested signature pages (SP) (10/14/20) Followed up on signature pages (SP) | Included | Included. |
| Delaware | 10/14/20 | 12/28/20 | | Considered properly FILED; Communicable Disease Exclusion WITHDRAWN. | (10/20/20) Submitted (SP) | (10/26/20) Submitted (SP) |
| District of Columbia | 12/2/20 – F/R | | 12/27/20 | Pending (12/27/20) Followed up on status (WP) | Included. | Included. |
| Florida | 11/17/20 – F/R | 2/1/21 – R | 12/28/20 | Rates approved (use revised rating plan); Forms pending (11/19/20) Rec'd correspondence from DOI re rates and forwarded to company (SP) (11/19/20) Rec'd response from company and replied to DOI via email (SP) (11/20/20) Rec'd response from DOI and submitted response via I-File (SP) (11/30/20) DOI extended review of form filing to 1/1/21 (SP) (12/28/20) Deemer waived per DOI's request (WP) | Included | Included |
| Georgia | 12/14/20 – F/R | | | Pending | Included | Included |
| Hawaii | 10/14/20 | 12/12/20 | | Approved. Use revised rating plan | Included in 11/3/20 reply. | (10/26/20) Submitted (SP) |
| Idaho | 10/14/20 | 10/28/20 | | FILED Filing re-approved with additional form | (10/20/20) Submitted (SP) | (10/28/20) Filing reopened and form submitted (SP) |

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| | | | | | | (10/28/2) Filing reapproved (SP) |
| Illinois | 10/6/20 – F; Rates NO FILE | Rates – NO FILE | 1/4/21 | Forms pending; Rates NO FILE (10/9/20) Updated forms submitted (SP) (10/14/20) Revised forms submitted (SP) (11/23/20) Followed up on status of filing (SP) (12/4/20) Followed up on status of filing (SP) (1/4/21) Followed up on status (SP) | N/A – No File | (10/26/20) Submitted (SP) |
| Indiana | 10/6/20 | 10/22/20 | | Considered properly FILED. Includes revised IN Amendatory Endorsement. (Filing reapproved with revised rating Plan) | (10/21/20) Filing reopened and updated rating plan submitted (SP) | New filing required. (11/12/20) Filing submitted (SP) |
| Indiana (revision to add ASC-E106) | 11/12/20 | | 11/19/20 | Approved. | N/A | Included in filing. |
| Iowa | 10/2/20 | 10/27/20 | | Approved (Filing reapproved with revised rating plan) (Filing reapproved with additional ASC-E106 form) | (10/21/20) Filing reopened and updated rating plan submitted (SP) | (10/27/20) Filing reopened and additional form submitted (SP) |
| Kansas | 10/8/20 | 10/14/20 | | Approved and Filed as revised. | (10/20/20) DOI confirmed new filing needed (SP) (11/12/20) Filing submitted (SP) | New filing will need to be submitted (SP) (11/12/20) Filing submitted |
| Kansas (revision to add ASC-E106 and revised rating plan) | 11/12/20 | 12/3/20 | | Approved Use revised rating plan and endorsement. | Included in filing | Included in filing. |
| Kentucky | 10/2/20 – F/R | 10/19/20 – F; 10/14/20 – R | | Forms approved; rates acknowledged. Minimum Earned Premium Endorsement withdrawn. | (10/20/20) Sent note to DOI to reopen filing (SP) New filing needed. | (10/27/20) Sent request to reopen filing (SP) (11/2/20) DOI confirmed new filing needed (SP) |
| Kentucky (revision to add ASC-E106) | 11/12/20 – F/R | 12/1/20 – F/R | | Approved | Included in filing | Included in filing. |

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| and revised rating plan) | | | | | | |
| Louisiana | 10/8/20 – F/R | 11/10/20 - F | 12/10/20 | Forms approved; rates/rules Pending The forms approval includes the revised LA amendatory endorsement, revised Election of Extended Reporting Period Endorsement, revised Run Off Endorsement from Inception endorsement, revised Tax Preparers Endorsement, withdrawn blank endorsements. (10/8/20) Deemer date for forms and rates extended additional 15 days to a 60 day review period (SP) (10/9/20) Updated forms submitted (SP) (10/14/20) Revised forms submitted (SP) (10/23/20) Rec'd disapproval on forms and forwarded questions to company (SP) (11/3/20) Rec'd response from company re forms and resubmitted filing (SP) (11/5/20) Rec'd note from DOI re forms that forty-five (45) day deemer period for this filing is now extended by an additional fifteen (15) days to sixty (60) days (11/6/20) DOI questions received on rates, correspondence to client. (WP) (11/20/20) Rec'd response from company re rats and replied to DOI (SP) (12/4/20) Followed up on status of filing (SP) (12/10/20) Rec'd correspondence from DOI re rates and forwarded to company for review (SP) | (10/20/20) Submitted (SP) | Included in 11/3/20 resubmission. |
| Maine | 10/8/20 | 10/29/20 | | Approved as revised. | (10/21/20) Included in response (SP) | (10/26/20) Submitted (SP) |
| Maryland | 10/8/20 – F/R | 11/15/20 | | Closed-Approved/Acknowledged. Communicable Disease Exclusion withdrawn. | (10/20/20) Submitted (SP) | (10/26/20) Submitted (SP) |

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| Massachusetts | 10/16/20 | | 12/27/20 | Pending (11/23/20) Followed up on status of filing (SP) (12/4/20) Followed up on status of filing (SP) (12/27/20) Followed up on status (WP) | (10/20/20) Submitted (SP) | (10/27/20) Submitted (SP) |
| Michigan | EXEMPT | | EXEMPT | EXEMPT | N/A | N/A |
| Minnesota | | | | Filing drafted. (10/8/20) Requested signature page from company (SP) (10/14/20) Followed up on signature pages (SP) | Included in filing. | Included in filing. |
| Missouri | 10/8/20 | 11/15/20 | | Considered properly FILED as revised. Use updated MO rating plan. Communicable Disease Exclusion and Mutual Selection of Counsel Endorsement are WITHDRAWN. Use updated MO amendatory endorsement. Use MO versions of Amendment of Optional Extended Reporting Period, Amendment to Owned or Affiliate, Consent to Settle Amendatory, Notice of Claim Amended Endorsements. | (10/20/20) Submitted (SP) | (10/26/20) Submitted (SP) |
| Mississippi | 10/8/20 | 10/26/20 | | Approved Reapproved with additional form | (10/20/20) Submitted (SP) | (10/28/20) Filing reopened and form submitted (SP) (10/28/20) Filing reapproved (SP) |
| Montana | 10/14/20 – F/R | 10/22/20 – R; 11/9/20 – F | | Rates approved; forms approved as revised. | (10/22/20) Included in response to DOI (SP) | (10/26/20) Submitted (SP) |
| Nebraska | 10/6/20 | 12/4/20 | | Approved (use revised rating plan) | (10/20/20) Submitted (SP) | (10/26/20) Submitted (SP) |

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| Nevada | 10/6/20 – F; Rates EXEMPT | 10/19/20 – F; Rates – EXEMPT | | Forms Approved (Communicable Disease Exclusion Withdrawn) Forms reapproved after addition of ASC-E106. Rates EXEMPT | N/A | (10/27/20) Filing reopened and form submitted (SP) |
| New Hampshire | 10/6/20 – F/R | 11/10/20 – F; 12/4/20 – R | | Forms approved; rates/rules approved. Forms approval includes revised amendatory endorsement, revised Amendment to Optional Extended Reporting Period, revised Cancellation – Non Payment of Premium endorsement, all manuscript forms withdrawn and one revised version submitted, revised Notice of Claim Amended Endorsement, revised Reliance on Matter Endorsement, revised Tax Preparers Endorsement, withdrawn Unfair Competition amendatory Endorsement. Rate approval includes revised rating plan. | (10/20/20) Submitted (SP) | (10/26/20) Submitted (SP) |
| New Jersey | 10/22/20 | EXEMPT | | Withdrawn as EXEMPT. | Included in submission. | N/A |
| New Mexico | 10/6/20 – F; Rates EXEMPT | 11/2/20 – F; Rates – EXEMPT | | Forms File and Use w/o Review; Rates EXEMPT Forms reapproved. | N/A | (10/27/20) Filing reopened and additional form submitted (SP) (11/2/20) Filing reapproved (SP) |
| New York | | | | (10/19/20) Emailed Wes re competitor filings (SP) | To be included in submission | To be included in submission (SP) |
| North Carolina | 10/19/20 – F/R | 10/28/20 – F; 12/29/20 – R | | Rates considered Proper as revised; Forms approved as revised. | (10/20/20) Submitted | (10/26/20) Submitted (SP) |
| North Dakota | 10/8/20 | 10/22/20 | | Approved | Included in 10/20/20 response (SP) | (10/27/20) Sent request to reopen filing (be sure |

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| | | | | | | to also submit revised DWL notice (SP) (10/29/20) DOI confirmed new filing would need to be submitted (SP) (11/12/20) Filing submitted (SP) |
| North Dakota (revision to add ASC-E106) | 11/12/20 | X | 11/13/20 | Filing withdrawn (11/13/20) Rec'd correspondence from DOI and forwarded to company for review (SP) | N/A | Included in filing. |
| Ohio | 10/8/20 | 11/19/20 | | Approved as revised. | (10/20/20) Submitted (SP) | (10/26/20) Submitted (SP) |
| Oklahoma | 10/8/20 – F/R | 11/15/20 – F/R | | Forms and Rates approved as revised | (10/21/20) Included in response to DOI (SP) | (10/26/20) submitted (SP) |
| Oregon | 10/14/20 | 11/12/20 | | Approved as revised | (10/20/20) Submitted (SP) | (10/26/20) Submitted (SP) |
| Pennsylvania | 10/8/20 – F/R | 10/14/20 – F; 10/27/20 – R | | Forms approved; Rates approved. | Included in 10/26 reply. | New filing required. (11/12/20) Filing submitted (SP) (11/13/20) Filing approved (SP) |
| Pennsylvania (revision to add ASC-E106) | 11/12/20 | 11/12/20 | | Approved | N/A | Included in filing. |
| Rhode Island | 10/15/20 | 12/9/20 | | Approved as revised. | (10/20/20) Submitted (SP) | (10/26/20) Submitted (SP) |
| South Carolina | 10/8/20 – F; Rates NO FILE | 10/13/20 – F; Rates – NO FILE | | Forms Use and File as amended; Rates NO FILE Forms reapproved with updated form | N/A | (10/27/20) Filing reopened and form submitted (SP) (10/28/20) Filing reapproved (SP) |
| South Dakota | | | | (10/8/20) Draft amendatory forwarded to company (SP) | To be included in submission. | To be included in submission. |
| Tennessee | 10/2/20 | 10/21/20 | | FILED (Filing reapproved with revised rating plan) | (10/21/20) Filing reopened and update | New filing required. (11/12/20) Filing submitted (SP) |

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| | | | | | rating plan submitted (SP) | |
| Tennessee (revision to add ASC-E106) | 11/12/20 | 11/17/20 | | Approved | N/A | Included in filing. |
| Texas | 10/26/20 – F/R | 12/9/20 – F | 1/4/21 | Forms approved as revised; rates pending (10/28/20) Rec'd correspondence from DOI re forms and forwarded to company for review (SP) (10/29/20) Rec'd response from company re forms and replied to DOI (SP) (11/16/20) Rec'd correspondence from DOI re forms and forwarded to company for review (SP) (11/19/20) Rec'd correspondence from DOI re rates and forwarded to company for review (SP) (12/1/20) Rec'd response from company re forms and rates and replied to Doi (SP) (12/2/20) Rec'd correspondence re forms and forwarded to company for review (SP) (12/2/20) Rec'd correspondence from DOI re rates and forwarded to company for review (SP) (12/3/20) Rec'd response from company re forms and replied to DOI (SP) (12/9/20) Replied to DOI's questions re forms (SP) (12/22/20) Rec'd response from company re rates and replied to DOI (SP) (1/4/21) Followed up on status (SP) | Included in Submission | (10/27/20) Form submitted (SP) |
| Utah | | | | (10/8/20) Draft amendatory forwarded to company (SP) (12/16/20) Per CCD filing, company not licensed in UT (SP) | To be included in submission (SP) | To be included in filing (SP) |
| Vermont | 10/14/20 | X | 12/2/20 | Withdrawn | (10/20/20) Submitted (SP) | (10/26/20) Submitted (SP) |

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| | | | | (10/14/20) Revised forms submitted (SP) (11/22/20) Followed up on status (WP) (11/30/20) Clarification request received; reply to DOI. (SP) (12/1/20) Rec' correspondence from DOI and forwarded to company for review (SP) (12/2/20) Rec'd response from company and withdrew filing (SP) | | |
| Virginia | | | | (12/30/20) Emailed internally re amendatory endorsement (SP) | To be included in submission. | To be included in submission. |
| Washington | 10/8/20 – F/R | W/D | 11/5/20 | Filing withdrawn per client's request. | (10/20/20) Submitted (SP) | (10/26/20) Submitted (SP) |
| West Virginia | | | | (10/8/20) Draft amendatory forwarded to company (SP) (10/8/20) Requested signature page (SP) (10/14/20) Followed up on signature pages (SP) | To be included in submission. | To be included in submission. |
| Wisconsin | | | | (10/8/20) Requested signature page (SP) (10/14/20) Followed up on signature pages (SP) (10/19/20) Draft amendatory forwarded to company (SP) (10/21/20) Replied to company's questions regarding amendatory endorsement (SP) (10/22/20) Filings drafted; waiting for signature page (SP) | To be included in submission. | To be included in submission. |
| Wyoming | 10/14/20 – F; Rates NO FILE | 11/20/20 – F; Rates – NO FILE | | Forms approved as revised; rates NO FILE | N/A | (10/26/20) Submitted (SP) |